

# Intermediary Application Form: Product Switch

• Please write inside the boxes in BLOCK CAPITALS using black ink.

## This section to be completed by the Introducer (if applicable)

First name

Panel number (if known)

Surname

Telephone number

Company name

Email Address

Address

Firm FCA/PRA ref. no.

Appointed representatives

No ☐ Yes ☐

Name of principal

Principal FCA/PRA firm ref. no.

Address of principal

Postcode

Network/Club if applicable

Packager if applicable

Amount of fee or charge the applicant will pay you for arranging this mortgage product switch (Enter nil if no fee is being charged)

£

Postcode

When is fee payable?

On application ☐ On completion ☐ Up front ☐

Email address

Terms under which a refund will be made

Telephone number

On what basis was this mortgage arranged?

Advised sale ☐

Face to face ☐

Phone ☐

Who provided the advice or information?

### I hereby confirm and declare that:

1. I have been instructed by the applicant(s) named in this application to switch to another UCB Home Loans Corporation Limited mortgage product ('product switch') and to complete this application for and on behalf of them.
2. The information in this application and the answers given are those provided by the applicant(s) and to the best of my knowledge are true. I am aware and I have made the applicant(s) aware that it is a criminal offence to knowingly supply false information to obtain a mortgage.
3. I understand that you will rely on this information when deciding to issue a mortgage product switch offer and I will make good any loss that you may suffer as a result of any incorrect or misleading information that I have provided you.
4. I undertake to notify you as soon as I become aware that any information in this application ceases to be true, accurate or complete.
5. I have
  - a) outlined the nature of the mortgage and the product to the applicant(s) and
  - b) explained the nature and amount of the fees payable by the applicant(s) and I have confirmed with the applicant(s) which fees are not refundable even if you do not offer them a mortgage or the mortgage product switch does not proceed for any other reason.
6. I have advised the applicant(s) that you may disclose details about the progress of their application, including whether or not it has been granted, and details of any complaints they make prior to completion, to me if I request it.
7. I undertake to provide you with all the documentary evidence that you require to support the information contained in this application.
8. I confirm that, if the term of the mortgage continues after the applicant(s) actual or anticipated retirement, a full explanation has been given by the applicant(s) as to how the mortgage will be funded in retirement before I submitted this application.
9. I confirm that all documents provided, whether electronically attached or posted, are genuine copies or photographic images of the original documents that have been seen by me and (where appropriate) my client(s) have authorised me to take and provide you with photographic images of the documents provided.

## Signature(s)

Signature of Introducer

PLEASE SIGN WITHIN THIS BOX

Signature of Introducer

Date (please write INSIDE the boxes)

Print Name

Before you complete this form, please see 'How Nationwide uses your information' by visiting [nationwide.co.uk/privacy](https://nationwide.co.uk/privacy). If you're a broker completing this form on behalf of the applicant, please make sure they have understood how their information will be used.

1. Account Details

1. Mortgage Account Number	<div></div>
2. Name(s) in which account held	<div></div>

2. Application Eligibility

3. Have there been any missed payments on the account in the last two months?	No <input type="checkbox"/> Yes <input type="checkbox"/> If the answer is yes to this question, it will not be possible to proceed with a product switch at this time.
4. Is there an early repayment charge on the mortgage elements that are to be switched?	No <input type="checkbox"/> Yes <input type="checkbox"/> Payment of the early repayment charge must be received prior to the product switch offer being made.
5. Within the last year, have you been declared bankrupt or entered into an Individual Voluntary Arrangement?	No <input type="checkbox"/> Yes <input type="checkbox"/> If yes, what date was the arrangement made? <div></div>

3. Applicant's Personal Details

6. Title	First Applicant		Second Applicant	
	Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other <input type="checkbox"/> Other (specify) <div></div>		Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other <input type="checkbox"/> Other (specify) <div></div>	
	<div></div>		<div></div>	
7. Forenames	<div></div>		<div></div>	
8. Surname	<div></div>		<div></div>	
9. Age and date of birth	Age <div></div> DOB <div></div>		Age <div></div> DOB <div></div>	
10. Title	Third Applicant		Fourth Applicant	
	Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other <input type="checkbox"/> Other (specify) <div></div>		Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Other <input type="checkbox"/> Other (specify) <div></div>	
	<div></div>		<div></div>	
11. Forenames	<div></div>		<div></div>	
12. Surname	<div></div>		<div></div>	
13. Age and date of birth	Age <div></div> DOB <div></div>		Age <div></div> DOB <div></div>	

4. Property Details

14. Is the property owner occupied? (Residential only)	No <input type="checkbox"/> Yes <input type="checkbox"/>
15. Is the property being rented out? (BTL only)	No <input type="checkbox"/> Yes <input type="checkbox"/>
16. Is there an Assured Shorthold Tenancy Agreement in place (Short Assured Tenancy or Private Residential Tenancy in Scotland)? (BTL only)	No <input type="checkbox"/> Yes <input type="checkbox"/>

## 5. New Product Details

17. New mortgage switch account number

18. Product Type	2 year <input type="checkbox"/> 5 year <input type="checkbox"/> Lifetime Variable <input type="checkbox"/>
19. Product Fee	Pay up front <input type="checkbox"/> Add to loan <input type="checkbox"/>

## 6. How We Use Your Information

This is a summary of how Nationwide use your personal information, if you'd like a more detailed explanation, please see Nationwide's full privacy statement 'How Nationwide uses your information', available in branch or online at [nationwide.co.uk/privacy](https://www.nationwide.co.uk/privacy)

1. We collect and use your information so we can offer and manage your accounts, confirm your ID, prevent fraud, provide services to you, collect and recover debt and run our business.
2. We share your information as necessary within Nationwide, with our suppliers and with any third parties you've asked to act on your behalf. Information is shared with our insurance partners when applying for a Nationwide insurance product or it is a benefit of your account (e.g. FlexPlus).
3. If the law requires or allows us to, we'll also share information as necessary with other organisations. This includes credit reference agencies, fraud prevention agencies and other government bodies, regulators and law enforcement agencies.
4. When we share your information with credit reference agencies they'll use this to check your credit rating, along with the other details you've given us. These checks are part of most account application processes – however, they will leave a record on your credit file that other lenders can see. This might affect your ability to get credit elsewhere for a short while.
5. When we share your information with fraud prevention agencies they will use the data to prevent fraud and money laundering and verify your identity. If we believe someone poses a fraud or money laundering risk, we may refuse to provide the product they have asked for and we may also stop providing services they already have. The fraud prevention agencies will keep a record of any fraud or money laundering risk and this could mean that other organisations may refuse to provide services, financing or employment.
6. When we transfer information to third parties and organisations, whether inside or outside the UK, we'll make sure we only give them information that's necessary and that your data will stay secure.
7. We may, if applicable, give the Intermediary who introduces this mortgage application to you, a copy of any offer we make or the reason for declining the application, a copy of any valuation we ask to be carried out, details of any complaints you make before completion and any other information we consider necessary to process your application through to completion.
8. We only use your information if we have a legal basis to do so, for example, if you have given us consent or if we need to use the information to meet our obligations to you in our terms and conditions. We may also use your information if necessary to comply with the law or to carry out our legitimate business interests.
9. You have certain rights when it comes to your personal information including the right to access your data. Further details on these rights and who to contact are available in branch or online at [nationwide.co.uk/privacy](https://nationwide.co.uk/privacy)

By making this application, I'm confirming that the other applicant, if applicable, has agreed to share a financial relationship with me. Also, that they're happy for Nationwide to authorise searches, and for links and/or recordings of their information to be made with credit reference agencies.

## 7. Declaration

You (each of you if more than one is applying) agree and declare as follows:

1. We will rely on the information you have given us on this form, which you confirm is complete and true. If you fail to disclose, or give false material information, this may result in any offer being withdrawn. You understand it is a criminal offence to knowingly supply false information to obtain a loan.
2. You must have adequate buildings insurance in place which covers the cost of rebuilding the property.
3. We may disclose details about the progress of your application, including whether or not it has been granted, and details of any complaints you make prior to completion to your broker, Independent Financial Adviser, professional adviser or other intermediary, if the request came from them.
4. You are not bankrupt or insolvent.
5. It is your responsibility to ensure that you have suitable life cover or other means of repayment in place to repay the mortgage in the event of your death.
6. For interest only mortgages, you have an adequate repayment plan in place to repay the mortgage at the end of the term.
7. We may add to your loan amount fees, costs and other sums associated with your loan application unless you pay them to us direct and interest will be charged on all sums added to your loan.
8. Fixed and tracker rates are limited offers and may be withdrawn at any time.
9. By signing this application, I agree to the declaration.
10. The product availability and lending decision are subject to customer status, credit bureau search and lending criteria.
11. **The borrow back feature is not available on new UCB products. Switching your mortgage to a new product will result in the removal of this facility.**

**Mortgages are secured on your property. You could lose your property if you do not keep up payments on your mortgage.**

**All applicants must sign here.**

Signature	Signature
Date <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	Date <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Signature	Signature
Date <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>	Date <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>

The application and supporting information can be emailed to **tmwdirect@themortgageworks.co.uk** or should be sent to:  
**UCB Home Loans Corporation Limited, Northampton Administration Centre, Kings Park Road, Moulton Park, Northampton, NN3 6NW. Telephone 0800 464 3014**

This leaflet is available in large print, audio and Braille.  
To organise an alternative version for you, please contact us on **0800 464 3014**.

**Please note that for our mutual protection and to improve service standards, we may monitor and/or record telephone calls.**

UCB Home Loans Corporation Limited (UCBHL) is a wholly owned subsidiary of Nationwide Building Society and is authorised and regulated by the Financial Conduct Authority (FCA) under registration number 303556. Most buy to let mortgages aren't regulated by the FCA. You can confirm our registration on the FCA's website **fca.org.uk**  
Registered Office: Nationwide House, Pipers Way, Swindon SN38 1NW. Registered in England. Company Registration Number 1063539.